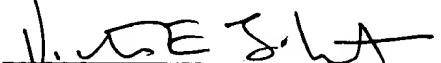


|  |  |   |  |  |
|--|--|---|--|--|
| FORM PTO-1390<br>(REV 10-2000)   |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER                   |
|  |  |   |  | P-1707                                     |
|  |  |   |  | U.S. APPLICATION NO. (Unknown, 37 CFR 1.5) |
|  |  |   |  | 09/914199                                  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371   |  |   |  |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/ZA00/00031  | INTERNATIONAL FILING DATE<br>24 February 2000 (24.02.00) |   | PRIORITY DATE CLAIMED<br>24 February 1999 (24.02.99) |  |
| TITLE OF INVENTION<br>METHOD AND APPARATUS FOR PRODUCING OZONE   |  |   |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Barend Visser   |  |   |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li><input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |  |
| Items 11 to 16 below concern document(s) or information included:  |  |   |  |  |
| <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information:<br/>Priority of three South African applications is claimed, as follows:<br/>24 February 2000 (24.02.00)<br/>21 January 2000 (21.01.00)<br/>23 February 2000 (23.02.00)</li> </ol>  |  |   |  |  |
| Only the earliest claimed date is shown above.   |  |   |  |  |

| U.S. APPLICATION NO. (if known) 37 CFR 1.492(e)   |              | INTERNATIONAL APPLICATION NO.<br>PCT/ZA00/00031  | ATTORNEY'S DOCKET NUMBER<br>P-1707 |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
|---|--------------|--|------------------------------------|--------------|------|--------------|----------|---|-----------|--------------------|---------|---|-----------|--|--|--|------------|------------------------------------|--|--|------------------|--|--|
| <p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ):</b></p> <ul style="list-style-type: none"> <li>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00</li> <li>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00</li> <li>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00</li> <li>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00</li> <li>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</li> </ul> |              | <b>CALCULATIONS PTO USE ONLY</b>   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |              | <b>\$ 860.00</b>   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>   |              | \$ 130.00  |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>6 - 20 =</td> <td>0</td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>X \$80.00</td> </tr> <tr> <td colspan="2" style="text-align: right;"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td></td> <td>+ \$270.00</td> </tr> <tr> <td colspan="2" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS</b></td> <td></td> <td style="text-align: right;"><b>\$ 990.00</b></td> </tr> </tbody> </table>  |              | CLAIMS   | NUMBER FILED                       | NUMBER EXTRA | RATE | Total claims | 6 - 20 = | 0 | X \$18.00 | Independent claims | 3 - 3 = | 0 | X \$80.00 | <b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b> |  |  | + \$270.00 | <b>TOTAL OF ABOVE CALCULATIONS</b> |  |  | <b>\$ 990.00</b> |  |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA   | RATE                               |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| Total claims  | 6 - 20 =     | 0  | X \$18.00                          |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| Independent claims  | 3 - 3 =      | 0  | X \$80.00                          |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>  |              |  | + \$270.00                         |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |              |  | <b>\$ 990.00</b>                   |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>  |              | \$ 495.00  |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>SUBTOTAL =</b>   |              | <b>\$ 495.00</b>   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>   |              | \$   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>TOTAL NATIONAL FEE =</b>   |              | <b>\$ 495.00</b>   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>   |              | \$   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              | <b>\$ 495.00</b>   |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
|   |              | Amount to be refunded:   | \$                                 |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
|   |              | charged:   | \$                                 |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-1302</u> in the amount of \$ <u>495.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-1302</u>. A duplicate copy of this sheet is enclosed.</p>  |              |  |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>   |              |  |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |
| <p>SEND ALL CORRESPONDENCE TO<br/>Libert &amp; Associates<br/>3 Mill Pond Lane<br/>P.O. Box 538<br/>Simsbury, CT 06070-0538<br/>United States of America</p>  |              | <br><p>SIGNATURE</p> <p><u>Victor E. Libert</u><br/>NAME</p> <p><u>24,224</u><br/>REGISTRATION NUMBER</p> |                                    |              |      |              |          |   |           |                    |         |   |           |  |  |  |            |                                    |  |  |                  |  |  |

09/914199  
JC05 Rec'd PCT/PTO 23 AUG 2001

IN THE DESIGNATED/ELECTED OFFICE (DO/EO/US)

OF

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INTERNATIONAL APPLICATION NO: PCT/ZA00/00031

APPLICANT FOR DO/EO/US: Barend Visser

INTERNATIONAL FILING DATE: 24 February 2000 (24.02.00)

PRIORITY DATES CLAIMED: 24 February 1999 (24.02.99);

21 January 2000 (21.01.00);

23 February 2000 (23.02.00)

TITLE: METHOD AND APPARATUS FOR PRODUCING OZONE

ATTY DKT: P-1707

Box PCT

Commissioner of Patents

Washington, DC 20231

**EXPRESS MAIL CERTIFICATE**

Express Mail Label Number: EL664114228US

Date of Deposit: August 23, 2001

I hereby certify that the attached paper or fee comprising:

1. Transmittal Letter to the DO/EO/US
2. Copy of Published International Application
3. Preliminary Amendment
4. Authorization to charge deposit account
5. Post-paid receipt card

is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PCT, Commissioner of Patents, Washington, DC 20231.



Victor E. Libert